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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Richard R. DeWitt, et al.

Appln. No.: 09/974,551

Filed: October 9, 2001

For: Method and System for Tracking and Verifying  
Billing Exceptions

Examiner: I Jung Liu

Art Unit: 3691

Attorney Docket No: 10793/14

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement (in duplicate), Form PTO-1449, Copies of Cited References A5-A9
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_ for the \_\_\_\_\_ fee. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

March 23, 2007  
Date

Charles M. McMahon (Reg. No. 44,926)

BRINKS  
HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599



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**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

No.	Date of Publication/Patent	Patentee/Applicant/Assignee
5,699,528	12-16-1997	Hogan
6,070,150	05-30-2000	Remington, et al.
6,078,907	06-20-2000	Lamm
6,128,603	10-03-2000	Dent, et al.

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
94/09439	04-28-1994	PCT
2,173,713	12-03-1996	Canada

**OTHER ART - NON-PATENT LITERATURE DOCUMENTS**

[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/products.htm">http://www.idcsi.com/IDSCWEB/products.htm</a> .
[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/clients.htm">http://www.idcsi.com/IDSCWEB/clients.htm</a> .
[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/services.asp">http://www.idcsi.com/IDSCWEB/services.asp</a> .

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

The Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,



Charles M. McMahon (Reg. No. 44,926)

March 23, 2007

Date



FORM PTO-1449	SERIAL NO. 09/974,551	CASE NO. 10793/14
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE October 9, 2001	GROUP ART UNIT 3691
(use several sheets if necessary)	APPLICANT(S): Richard A. DeWitt, et al.	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,699,528	12-16-1997	Hogan	395/240	10-31-1995
	A2	6,070,150	05-30-2000	Remington et al.	705/34	10-18-1996
	A3	6,078,907	06-20-2000	Lamm	705/40	02-18-1998
	A4	6,128,603	10-03-2000	Dent et al.	705/40	09-09-1997

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A5	94/09439	04-28-1994	PCT		
	A6	2,173,713	12-03-1996	Canada		

EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A7	[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/products.htm">http://www.idcsi.com/IDSCWEB/products.htm</a> .
	A8	[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/clients.htm">http://www.idcsi.com/IDSCWEB/clients.htm</a> .
	A9	[retrieved on 2007-03-05] Retrieved from the website of Integrated Data Communication Systems, Inc. using Internet <URL: <a href="http://www.idcsi.com/IDSCWEB/services.asp">http://www.idcsi.com/IDSCWEB/services.asp</a> .

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.